

SnT2023

CTBT: SCIENCE AND TECHNOLOGY CONFERENCE

HOFBURG PALACE - Vienna and Online

19 TO 23 JUNE

Seismic source characterization and screening for three mining events in Sweden, eastern United States, and NW Russia

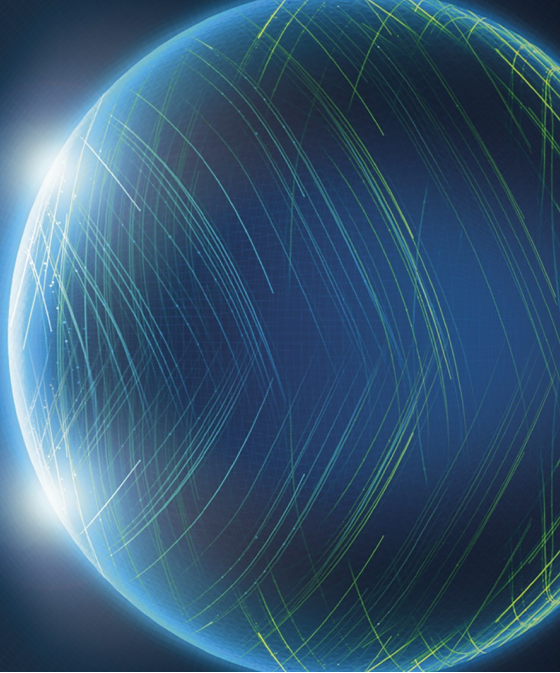
**Celso Alvizuri*, Tormod Kvaerna,
Benjamin Dando**

*celso.alvizuri@norsar.no

O2.3-448

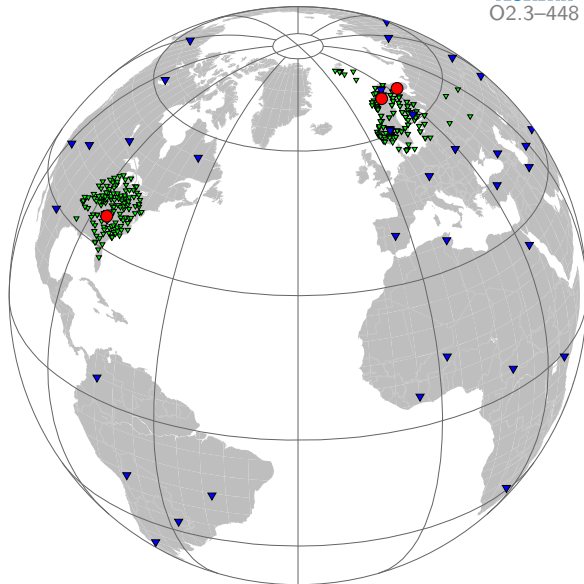
Tue. 20 June 2023

NORSAR



Outline

- Motivation
- Three minequakes
 - Sweden
 - USA
 - Russia
- IDC screening
- Moment tensor analysis
- Screening with moment tensors
- Conclusion



Motivation: We would like to routinely and robustly differentiate among explosions, earthquakes, collapses (+volcanic, landslides, meteors, induced, and any possible seismic sources)

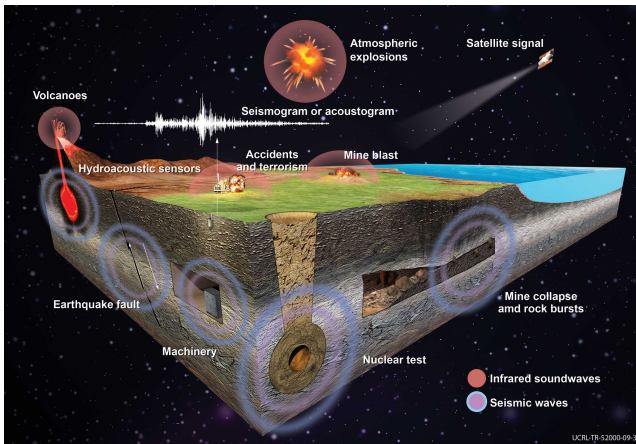
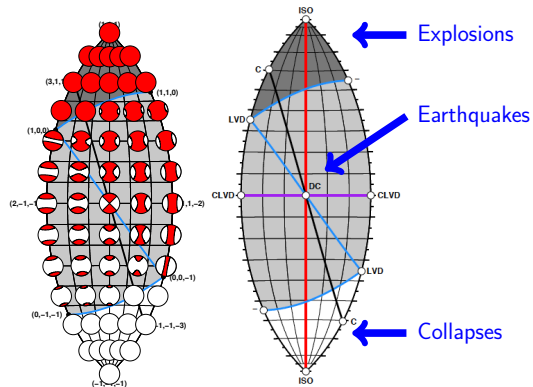


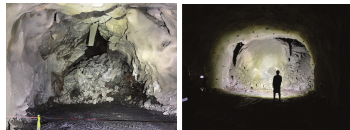
Figure from *Sleuthing seismic signals* (Walter, 2009, LLNL S&T Mag.)



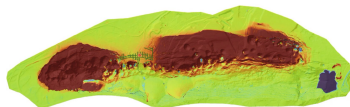
The source-type lune (Tape & Tape, 2012). Different seismic events separate into different parts on the lune

Kiruna, northern Sweden

- 2020-05-18 01:11:56.20
- Kiirunavaara iron mine, underground
- mb 4.7 (IDC, nsta 39)
- Ms 3.9 (IDC, nsta 71)
- 253 geophones (not openly available)
- 80,000 aftershocks $-3 < M < 2.0$



Drone Photogrammetry – Vertical movement 2021-2014



The Kiruna seismic event: important insights from the geotechnical model (Mawson et al., 2022)

Tennessee, USA

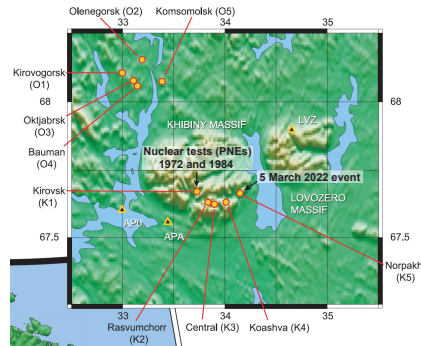
- 2021-08-13 11:57:34.970
- Crab Orchard Mine and Mill, limestone, underground
- mb 4.0 (IDC, nsta 2)
- Ms N/A (IDC, nsta 0)
- ML 3.7 (IDC, nsta 2)



www.crossvillanews1st.com
www.therobotreport.com/how-robots-helped-a-collapsed-mine-get-back-in-operation/

Norpakh, Kola Peninsula, Russia

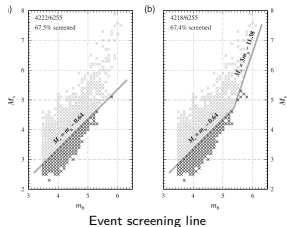
- 2022-03-05 00:13:25
- Apatite mine, open pit (?)
- mb 4.0 (IDC, nsta 18)
- Ms 3.6 (IDC, nsta 27)
- Near nuclear test sites



Harris & Kvaerna (2010)

Screening explosions at IDC using Event Screening Criteria

- **Not screened out if Score < 0**
(i.e. could be an explosion)



For & Walter (2014) (left: Selby et al., 2012)

$$m_b - M_s + 1.96\sigma_M < 0.64^*$$

* Eq. 43, IDC/OPS/MAN/001/Rev.3, Sec. 7.3.2

WGB report, Session 34 of the Preparatory Commission,
CTBT/PC-34/WGB/1

- **Kiruna Event 18914909 (mb 4.7, Ms 3.0)**
[NS] NOT SCREENED OUT. Score -2.03

EVENT SUMMARY BLOCK

Category	Score	Dscore	mscore	Rscore	Hscore	Smaj_sc	Smin_sc	Depth	Sdep	mbms	Smbms	Foffsh	MinWD	Clr
NS/Onsh	-2.03	0	-2.03	0	0	6.1	4.3	0	0	0.76	0.12	0	0	0

- **Tennessee Event 20919773 (mb 4.0, Ms N/A)**
[IS] INSUFFICIENT DATA. No Ms

EVENT SUMMARY BLOCK

Category	Score	Dscore	mscore	Rscore	Hscore	Smaj_sc	Smin_sc	Depth	Sdep	mbms	Smbms	Foffsh	MinWD	Clr
IS/Onsh	0	0	0	0	0	21.3	13.7	0	0	0	0	0	0	0

- **NW Russia Event 21879684 (mb 4.0, Ms 3.6)**
[SO] Screened out. Score 0.23

Magnitude Block		Phase Block		Event Summary Block		Hydroacoustic Block		Regional Block						
Copy Print														
Category	Score	Dscore	mscore	Rscore	Hscore	Smaj_sc	Smin_sc	Depth	Sdep	mbms	Smbms			
SO/Onsh	0.23	0.0	0.23	0.0	0.0	6.7	6.2	0.0	0.0	0.42	0.18			



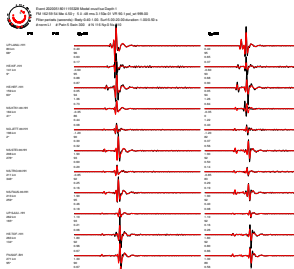
Key Points:

- We estimate full moment tensors for 116 events: nuclear explosions,

Celso Alvizuri^{1,2}, Vipul Silwal¹, Lion Krischer³, and Carl Tape¹

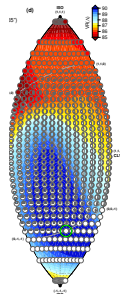
Moment tensor estimation

- Propose focal mechanism
- Compute synthetic seismograms
- Compare observed:synthetic
- Repeat 40M times



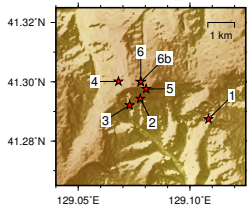
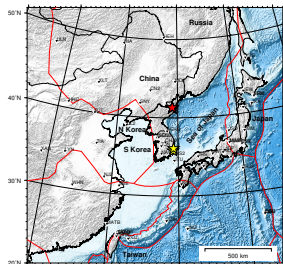
Source type and uncertainty characterization

- Appraise ensemble solutions (40M)
- Probabilities for source types
- Sample moment tensor space
- Source types and uncertainties
- Source models (single, multiple processes)

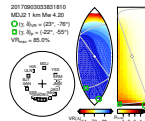
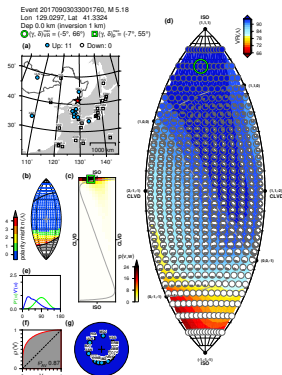


Application to the 6 North Korea nuclear explosions

Full Moment Tensor Analysis of Nuclear Explosions in North Korea (Alvizuri & Tape, 2018a, SRL Special Issue)

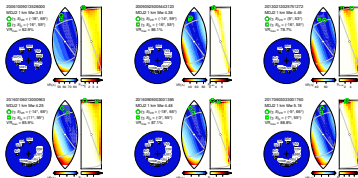


■ The 6th nuclear event
2017-09-03T03:30:0176
Mw 5.18 (NK6)



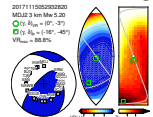
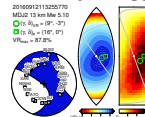
A collapse
mechanism
8 min after
NK6

■ The 6 nuclear tests

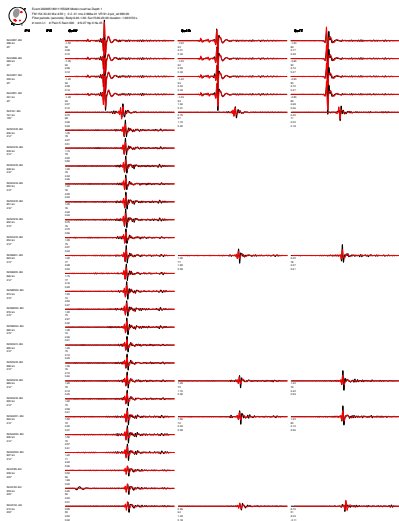


2016 Gyeongju

2017 Pohang

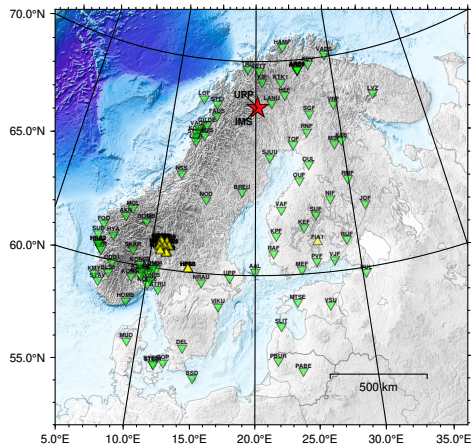


Kiruna event: Moment tensors and waveform fits



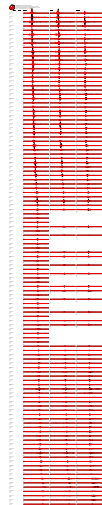
IMS only: ARCES, NOA, FINES, HFS

Total stations: 27, 288–910 km

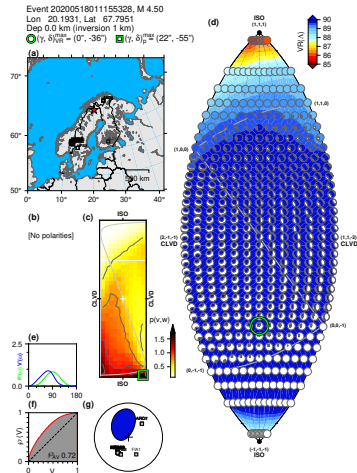
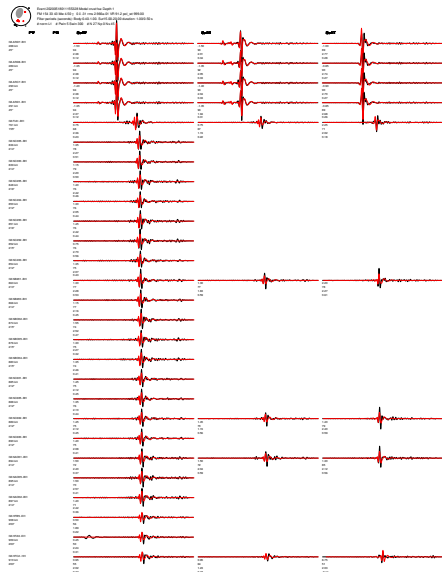


IMS + open: 3R DK EE FN GB GE HE
II IU NO NS PL QE UP

Total stations: 116, 80–1439 km



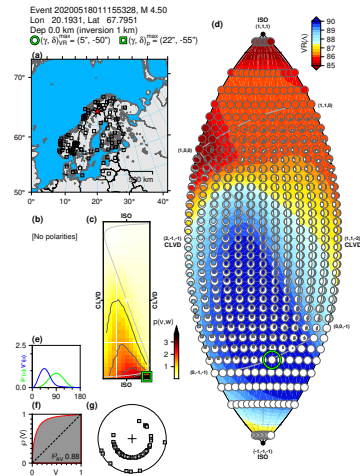
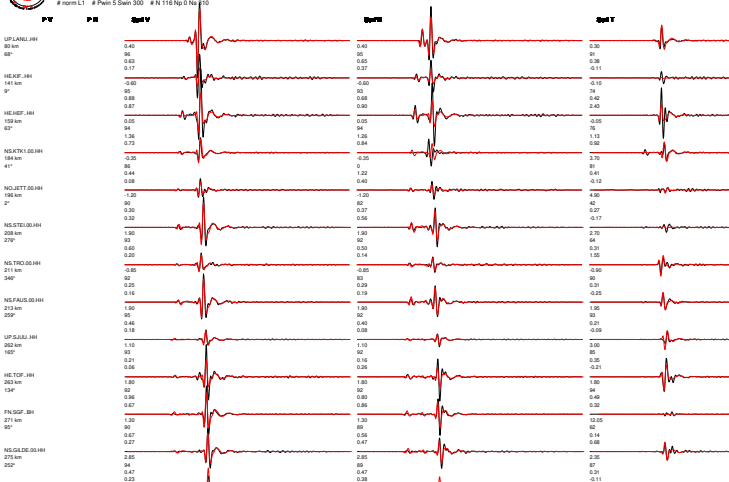
Kiruna event: Moment tensor and source type analysis, IMS only



Kiruna event: Moment tensor and source type analysis, IMS + open



Event 20200518011155328 Model crust1se Depth 1
 FM 162 59 54 Mw 4.50 γ 5.6-48 rms 3.150e-01 VR 90.1 pol_wt 999.00
 Filter periods (seconds): Body 0.40-1.00. Surf 5.00-20.00 duration: 1.000.50 s
 # norm L1 # Pwin 5 Swin 300 # N 116 Np 0 Na p10

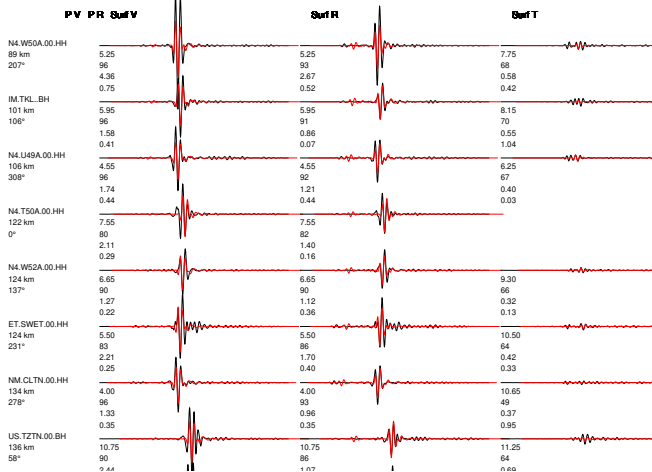


Total stations: 116, 80–1439 km. Snippet 80–275 km

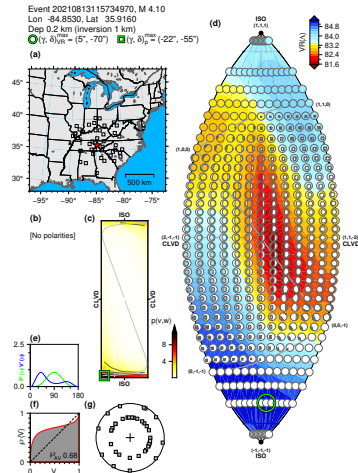
Tennessee event: Moment tensor and source type analysis, IMS + open

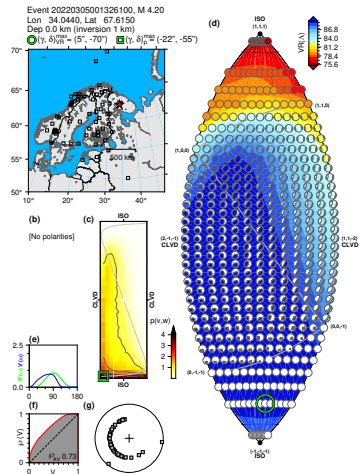
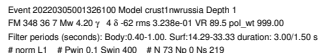


Event 20210813115734970 Model crust1USTN Depth 1
FM 311 81 73 Mw 4.10 γ -14 δ -67 rms 3.388e-01 VR 88.5 pol_wt 999.00
Filter periods (seconds): Body:0.50-2.50. Surf:2.00-5.00 duration: 1.00/0.50 s
norm L1 # Pwin 2 Swin 100 # N 42 Np 0 Ns 105



Total stations: 42, 89–677 km. Snippet: 89–136 km





CTBT Science & Technology Conference 2023 (SnT2023)

Consistent -ISO (collapse) mechanisms for the three events

Kiruna, Sweden

Tennessee, USA

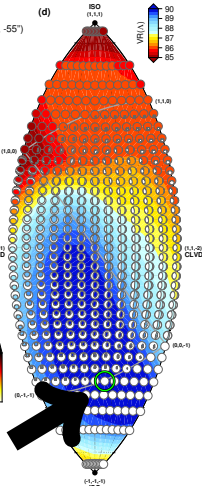
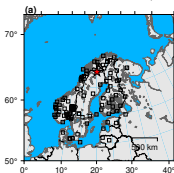
NW Russia

Event 20200518011155328, M 4.50

Lon 20.1931, Lat 67.7951

Dep 0.0 km (inversion 1 km)

● $(\gamma, \delta)_{VR}^{\max} = (5^\circ, -50^\circ)$ ■ $(\gamma, \delta)_p^{\max} = (22^\circ, -55^\circ)$

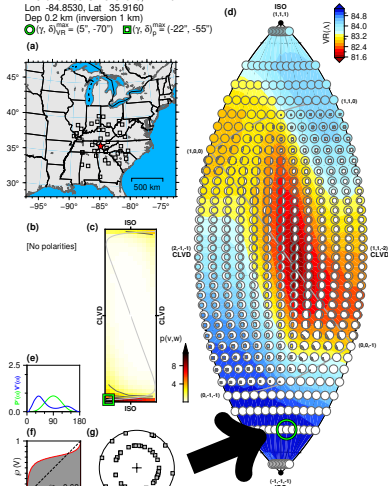
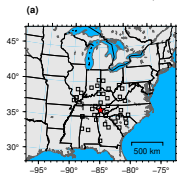


Event 20210813115734970, M 4.10

Lon -84.8530, Lat 35.9160

Dep 0.2 km (inversion 1 km)

● $(\gamma, \delta)_{VR}^{\max} = (5^\circ, -70^\circ)$ ■ $(\gamma, \delta)_p^{\max} = (-22^\circ, -55^\circ)$

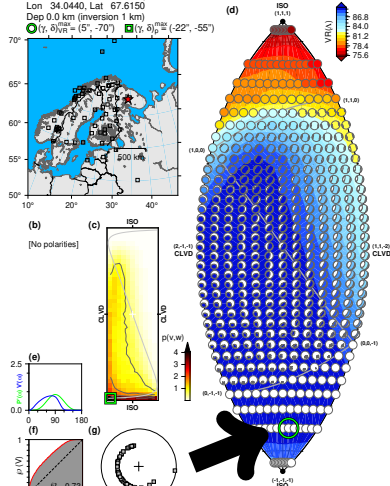
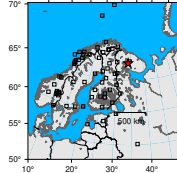


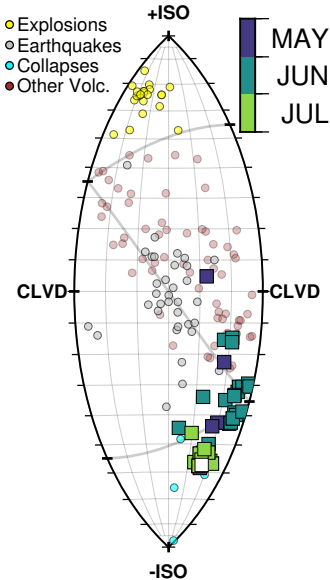
Event 20220305001326100, M 4.20

Lon 34.0440, Lat 67.6150

Dep 0.0 km (inversion 1 km)

● $(\gamma, \delta)_{VR}^{\max} = (5^\circ, -70^\circ)$ ■ $(\gamma, \delta)_p^{\max} = (-22^\circ, -55^\circ)$





Different seismic events separate into different parts on the lune

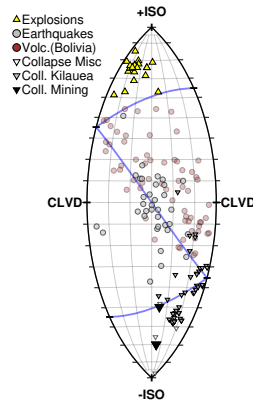
⇒ *Source screening with moment tensors*

- **Explosions** (top)
Nuclear tests at Nevada Test Site, North Korea
- **Earthquakes** (center)
Bolivia, Alaska, Europe, Scandinavia, etc
- **Collapses** (bottom)
Sweden, Russia, USA, Hawaii

A progression of 54 $M \sim 5$ collapses in Kīlauea caldera, Hawaii ([Alvizuri et al., 2021, EPSL](#))

- Three mining related events ($M \sim 4$) in Sweden, USA, Russia
- Inconsistent event screening at IDC
- Kiruna collapse screened as explosive
- Estimated moment tensors with waveforms from IMS + open stations
- Consistent collapse mechanisms ($-ISO$) for all events
- Need to supplement IMS with open stations for event screening

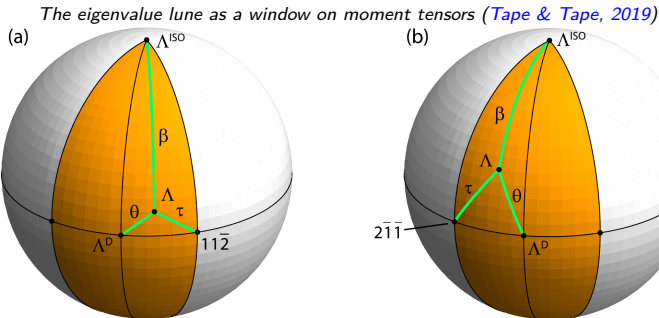
Thank you for your attention!



***Screening with
moment tensors***

- Alvizuri, C. & Tape, C., 2016. Full moment tensors for small events ($M_w < 3$) at Uturuncu volcano, Bolivia, *Geophys. J. Int.*, **206**, 1761–1783, doi:10.1093/gji/ggw247.
- Alvizuri, C. & Tape, C., 2018a. Full moment tensor analysis of nuclear explosions in North Korea, *Seismol. Res. Lett.*, **89**(6), 2139–2151, doi:10.1785/0220180158.
- Alvizuri, C. & Tape, C., 2018b. Full moment tensor analysis of nuclear explosions in North Korea, *Seismol. Res. Lett.*, doi:10.1785/0220180158.
- Alvizuri, C., Silwal, V., Krischer, L., & Tape, C., 2018. Estimation of full moment tensors, including uncertainties, for nuclear explosions, volcanic events, and earthquakes, *J. Geophys. Res. Solid Earth*, **123**, 5099–5119, doi:10.1029/2017JB015325.
- Alvizuri, C., Matoza, R. S., & Okubo, P. G., 2021. Earthquake collapse mechanisms and periodic, migrating seismicity during the 2018 summit collapse at Kīlauea caldera, *Earth Planet. Sci. Lett.*, **562**, 116819, doi:10.1016/j.epsl.2021.116819.
- Dineva, S., Dahnér, C., Malovichko, D., Lund, B., Gospodinov, D., Piana-Agostinetti, N., & Rudzinski, L., 2022. Analysis of the magnitude 4.2 seismic event on 18 May 2020 in the Kiirunavaara mine, Sweden, in *Rockbursts and Seismicity in Mines, Proceedings for 10th International Symposium RaSiM*, The Society for Mining, Metallurgy & Exploration.
- Ford, S. R. & Walter, W., 2014. mb:Ms Screening Revisited for Large Events, *Bull. Seismol. Soc. Am.*, **104**(3), 1550–1555, doi:10.1785/0120130182.
- Ford, S. R., Kraft, G. D., & Ichinose, G. A., 2020. Seismic moment tensor event screening, *Geophys. J. Int.*, **221**(1), 77–88, doi:10.1093/gji/ggz578.
- Harris, D. B. & Kvaerna, T., 2010. Superresolution with seismic arrays using empirical matched field processing, *Geophys. J. Int.*, **182**(3), 1455–1477, doi:10.1111/j.1365-246X.2010.04684.x.
- Mawson, M., Dahnér-Lindkvist, C., Andersson, U., & Bäckström, A., 2022. The Kiruna seismic event: important insights from the geotechnical model, in *Caving 2022: Fifth International Conference on Block and Sublevel Caving*, Australian Centre for Geomechanics.
- Tape, W. & Tape, C., 2012. A geometric setting for moment tensors, *Geophys. J. Int.*, **190**, 476–498, doi:10.1111/j.1365-246X.2012.05491.x.
- Tape, W. & Tape, C., 2019. The eigenvalue lune as a window on moment tensors, *Geophys. J. Int.*, **216**, 19–33, doi:10.1093/gji/ggy373.
- Walter, W., 2009. Sleuthing seismic signals—Lawrence Livermore National Laboratory, *Science & Technology Review*, March.

What is a good metric for source screening?



⇒ Angle or arc length as measure between any two mechanisms

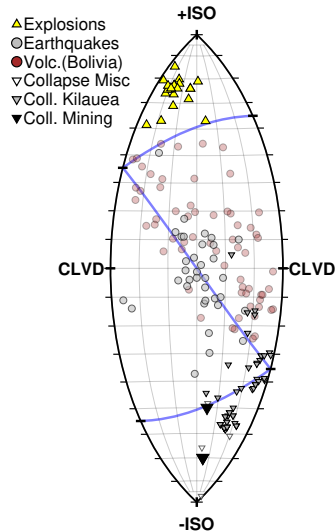
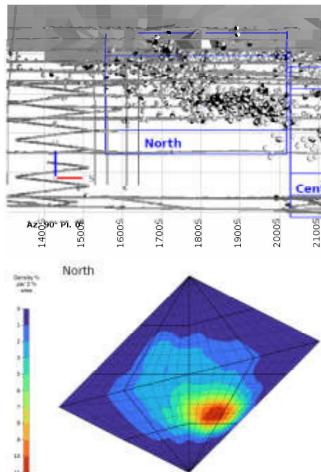
- $\beta = \angle(\mathbf{\Lambda}^{DC}, \mathbf{\Lambda}^X) = 90^\circ \Rightarrow +\text{ISO (explosion)}$
- $\beta = \angle(\mathbf{\Lambda}^{DC}, \mathbf{\Lambda}^X) = 0^\circ \Rightarrow \text{DC (earthquake)}$
- $\beta = \angle(\mathbf{\Lambda}^{DC}, \mathbf{\Lambda}^X) = -90^\circ \Rightarrow -\text{ISO (collapse)}$

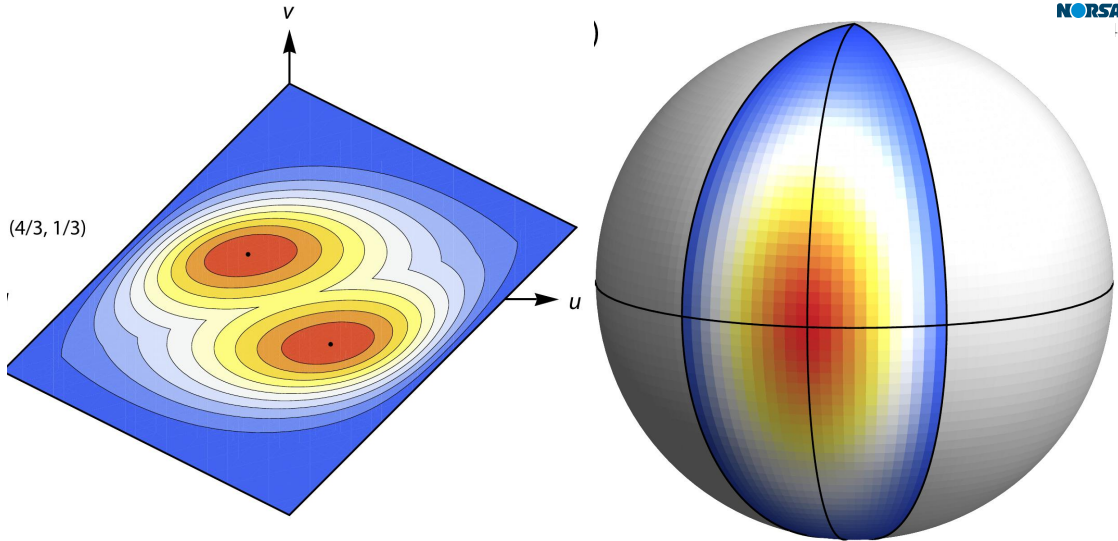
*cf., Ford et al. (2020) $\delta = \cos^{-1}(\mathbf{x}^T \hat{\boldsymbol{\mu}})$ is the angle δ from a mean explosion population $\hat{\boldsymbol{\mu}}$ to a newly estimated moment tensor $\mathbf{x}$.

Ever smaller events resolved with increasing sensor density

→ 253 geophones, 80,000 aftershocks $-3 < M < 2.0$

Source mechanisms of aftershocks, Kiruna north section (*Dineva et al., 2022*)

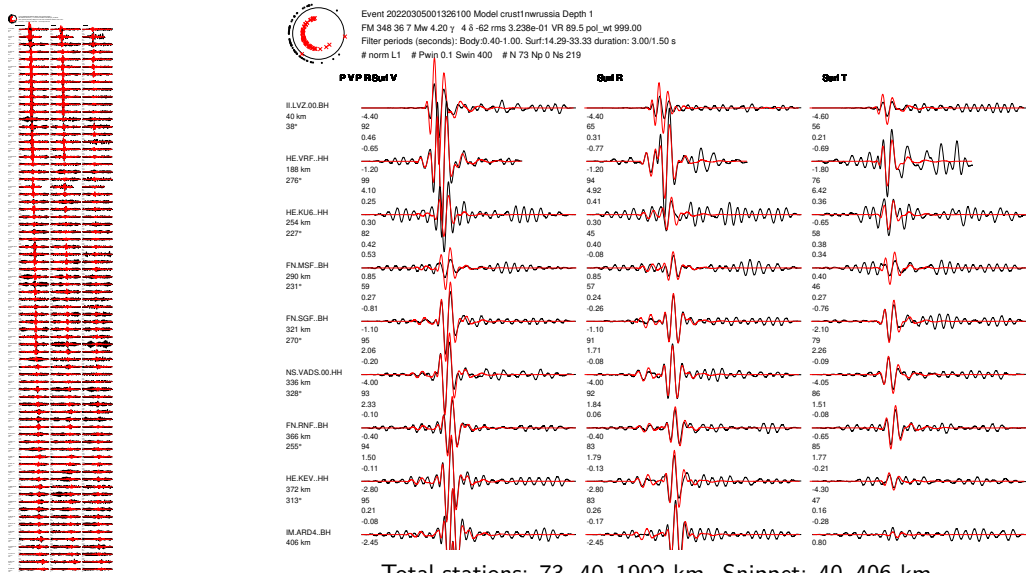




Homogeneous distributions on the Hudson and Lune diagrams.

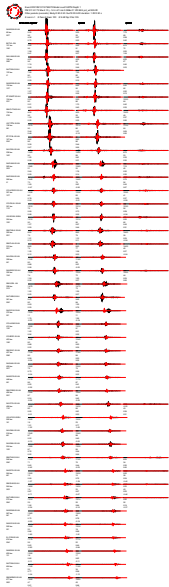
Figure from The eigenvalue lune as a window on moment tensors (Tape & Tape, 2019)

NW Russia event: Moment tensor and source type analysis, IMS + open



Total stations: 73, 40–1902 km. Snippet: 40–406 km

Tennessee event: Moment tensor and source type analysis, IMS + open



Event 20210813115734970 Model crust1USTN Depth 1
FM 311 81 73 Mw 4.10 γ -14 δ -67 rms 3.388e-01 VR 88.5 pol_wt 999.00
Filter periods (seconds): Body:0.50-2.50. Surf:2.00-5.00 duration: 1.00/0.50 s
norm L1 # Pwin 2 Swin 100 # N 42 Np 0 Ns 105

PV PR SurfV

SurfR

SurfT

N4.W50A.00.HH

89 km

207°

5.25

96

4.36

0.75

5.25

93

2.67

0.52

7.75

68

0.58

0.42

IM.TKL.BH

101 km

106°

5.95

96

1.58

0.41

5.95

91

0.86

0.07

8.15

70

0.55

1.04

N4.U49A.00.HH

106 km

308°

4.55

96

1.74

0.44

4.55

92

1.21

0.44

6.25

67

0.40

0.03

N4.T50A.00.HH

122 km

0°

7.55

80

2.11

0.29

7.55

82

1.40

0.16

N4.W52A.00.HH

124 km

137°

6.65

90

1.27

0.22

6.65

90

1.12

0.36

9.30

66

0.32

0.13

ET.SWET.00.HH

124 km

231°

5.50

83

2.21

0.25

5.50

86

1.70

0.40

10.50

64

0.42

0.33

NM.CLTN.00.HH

134 km

278°

4.00

96

1.33

0.35

4.00

93

0.96

0.35

10.65

49

0.37

0.95

US.TZTN.00.BH

136 km

58°

10.75

90

2.44

10.75

86

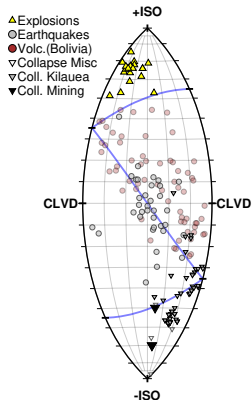
1.07

11.25

64

0.60

Total stations: 42, 89–677 km. Snippet: 89–136 km



- Routine ✓ robust ✓ estimation
- Next: real-time screening with moment tensors
- Next: real-time screening and characterization with AI/ML

→ **253 geophones, 80,000 aftershocks** $-3 < M < 2.0$

Source mechanisms of aftershocks, Kiruna north section (*Dineva et al., 2022*)

